

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: International Business Machines Corporation

Application No./Patent No.: See APPENDIX A

Filed/Issue Date: See APPENDIX A

International Business Machines Corporation, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title, and interest
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent applications/patents identified above. The assignments were recorded in the United States Patent and Trademark Office, as listed in APPENDIX A.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

NOTE: A separate copy (i.e., a true copy of the original document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.


Signature

1-28-10
Date

Lynne D. Anderson

(703) 299-1455

Printed or Typed Name

Telephone Number

Senior Program Manager, U.S.
Patent and Trademark Office
Liaison, IBM Corporation
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

APPENDIX A

Pending Patent Applications

Application No.	Filing Date	Docket No	First Inventor	Examiner	Art Unit	Reel	Frame
				Fredman,			
			Amontov	Norman			
			Gelorme	Teresa J.			
11/931,230	1/19/2010	YOR920030416US2	Supratik Guha	Macarthur, Sylvia	1792	014466	0089
11/931,242	4/19/2009	YOR920030416US3	Supratik Guha	Norton, Nadine Georgianna	1792	014466	0089
			Hamann	Rhonda S.			
12/236,310	1/15/2010	YOR92003519SU2	Isabelle M. Rouvellou	Fernandez Rivas, Omar F.	2129	014640	0909
10/725,298	12/15/2009	YOR920030523US1	George V. Popescu	Mejia, Anthony	2451	014759	0267
→ 10/723,227	12/13/2009	YOR920030529US1	George Popescu	Murray, Daniel C.	2443	014752	0690